



31064A 070165.0461

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Podos et al.

Serial No.:

09/073,552

Examiner: to be assigned

Filed:

May 6, 1998

Group Art Unit: to be assigned

For:

8-ISO-PROSTAGLANDINS FOR GLAUCOMA THERAPY

REQUEST FOR SMALL ENTITY STATUS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C., 20231.

Hugust 20, 1770

Lisa B. Kole

Actorney Name

Signature

35,225

Registration No

August 26, 1

Assistant Commissioner of Patents Washington, D.C. 20231

SIR:

Applicants enclose herewith a copy of an Assignment, concurrently submitted for recordation, of Applicants to The Mount Sinai School of Medicine of the City University of New York ("Mount Sinai"), and a Verified Statement which indicates that, as a non-profit organization, Mount Sinai is a Small Entity.

31064A 070165.0461

Applicants therefore request that the above-identified application be accorded small entity status.

Respectfully submitted,

Richard S. Clark PTO Reg. No. 26,154

Lisa B. Kole PTO Reg. No. 35,225

Attorneys for Applicants (212) 408-2500

ASSIGNMENT

WHEREAS, WE, STEVEN M. PODOS, THOMAS W. MITTAG and BERNARD BECKER, citizens of United States, respectively, residing in Tenafly, State of New Jersey; Pleasantville, State of New York; and St. Louis, State of Missouri, respectively, whose post office addresses are 2 Knoll Road, Tenafly, New Jersey; 167 Woodland Drive, Pleasantville, New York; and 8655 West Kingsbury, St. Louis, Missouri, respectively, have made an invention entitled "8-150-PROSTAGLANDINS FOR GLAUCOMA THERAPY" as set forth and described in application for Letters Patent of the United States, Serial No. 09/073,552, filed May 6, 1998; and

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WHEREAS, MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, a corporation organized and existing under the laws of New York, and having an office for the transaction of business at One Gustave L. Levy Place, New York, New York 10029-6574, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said STEVEN M. PODOS, THOMAS W. MITTAG and BERNARD BECKER, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, and other valuable considerations unto us moving from said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to the said invention entitled "8-150-PROSTAGLANDINS FOR GLAUCOMA THERAPY" as set forth and described in application for Letters Patent of the United States, Serial No. 09/073,552, filed May 6, 1998, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

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AND WE, the said STEVEN M. PODOS, THOMAS W. MITTAG and BERNARD BECKER, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, its successors and assigns;

AND WE, the said STEVEN M. PODOS, THOMAS W. MITTAG and BERNARD BECKER, for the considerations aforesaid, do hereby covenant and agree to and with said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, its successors and assigns, may be required or necessary more effectively to secure to and vest in said MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

NY02:159108.1 -2-

THOMAS W. MITTAG and BERNARD BECKER, have hereunto set our hands and seals on the date below written. STATE OF Noth you) : ss.: COUNTY OF ME YOU BE IT KNOWN, that on this 20 day of 1998, before me personally came STEVEN M. PODOS, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned. STATE OF New YORK) : ss.: COUNTY OF NEW YORK) BE IT KNOWN, that on this _______, 1998, before me personally came THOMAS W. MITTAG, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

IN WITNESS WHEREOF, WE, the said STEVEN M. PODOS,

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Term Expires May 31, 199 <

STATE OF TWO

COUNTY OF St forms

BE IT KNOWN, that on this 14 day of lunus personally came BERNARD BECKER, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.